

Invasive Diagnostic Procedures in Embryonic Period

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ABSTRACT

In the era of prenatal ultrasound and biochemical screening and also due to the increase of noninvasive prenatal testing and screening (NIPT, NIPS), invasive prenatal techniques are the most appropriate procedures for diagnosing chromosomal, metabolic, and genetic fetal anomalies. Chorionic villous sampling (CVS) in the first trimester of pregnancy is currently the technique of choice for women at high genetic risk. Amniocentesis is more frequently employed in the second trimester while fetal blood sampling (FBS) by cordocentesis is rarely used nowadays. Women who choose to avoid the termination of pregnancy (TOP) of an affected fetus can opt for preimplantation genetic diagnosis (PGD) by assisted reproductive techniques (ART) by transferring *in utero* only the microbiopsied non-affected embryos or blastocysts. All invasive prenatal diagnosis procedures are performed under continuous ultrasound monitoring and can be done both free-hand or by insertion of a spinal needle in the ultrasound probe. Chorionic villous sampling can be performed by transcervical or transabdominal route; this last one is preferred mostly because it can be employed in any trimester of pregnancy but also because it is simpler and therefore easier for hands-on training, faster, less invasive; it is also associated with lower risks of infections and fetal loss. In antenatal diagnosis, the first step is non-directive pretest counseling to explain the risks and efficacy and to provide information about the procedures and the disease. The new laboratory analysis techniques are in continuous progress and their efficacy and success are very high for chromosomal anomalies using traditional karyotype by direct analysis of cytotrophoblastic and cultured metaphases of chorionic tissue. Alternatively, quantitative fluorescent polymerase chain reaction (QF-PCR) and array comparative genetic hybridization (aCGH) can be utilized. DNA amplification by PCR and, recently, next-generation sequencing (NGS) have shown high sensitivity and specificity for single-gene diseases. Audit of clinicians and adequate training of fellows are of paramount importance to have the highest quality results. The authors of this manuscript would like to thank Fondazione di Sardegna; Sardinia Regional Department for Programming; Boyana Petrova Tsikalova, MA in English Philology.

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INTRODUCTION

Invasive diagnostic sampling procedures are all techniques used for analyzing embryo–fetal and placental tissues, amniotic fluid, or fetal blood to avoid birth defects.¹

The most common procedures performed are chorionic villous sampling (CVS), amniocentesis, and cordocentesis.²

Since 1983 in the embryonic period, the most useful diagnostic procedure is CVS performed either transcervically (TC-CVS) or transabdominally (TA-CVS).

All techniques are performed under continuous ultrasound monitoring and following nondirective genetic-obstetric counseling and informed written consent.

More recently, to avoid genetic diseases and voluntary termination of pregnancy (TOP), women can utilize before pregnancy and using *in vitro* fertilization and intracytoplasmic injection (IVF-ICSI) the preimplantation genetic diagnosis (PGD).^{3,4}

Even if in the past, coelocentesis, early amniocentesis between the 12th and 14th week of gestation, vaginal lavage to obtain fetal cells were described as prenatal invasive procedures during the embryonic period; nowadays, these techniques are completely abandoned.

In this chapter, we would like to give the updated practical recommendations and the most appropriate techniques in invasive prenatal procedures such as CVS and PGD for clinicians and patients while deciding on their reproductive choices available in the embryonic period.

GENETIC-OBSTETRIC COUNSELING

Genetic-obstetric counseling must be performed before any invasive procedure by doctors' experts in genetics. Possibly, the

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counseling must be performed in the preconceptional period or before procedures to suggest and offer to the patients the best information about their reproductive choices.⁵

Counseling must always be informative and nondirective¹ and should include:

- The genetic risks of diseases.
- The possibility of screening, diagnosis, prognosis, and therapy.
- Embryo–fetal, maternal, and neonatal risks following invasive prenatal procedures, diagnostic limits, success and failure rates, and time to obtain a diagnosis.
- Methods of all invasive procedures.
- Other possible techniques and laboratory diagnostic clarifications in case of doubts.
- Choice options of fetal–neonatal therapy as well as discussion of the possibility of performing voluntary TOP in pathologic cases.

It is suggested to obtain a written informed consent form signed by the patient at the end of the counseling session.

ULTRASOUND AND PRENATAL INVASIVE TECHNIQUES

All invasive prenatal procedures would not be possible to perform if in the past years there has not been an impressive enhancement of the ultrasonographic equipment.

Each invasive prenatal procedure must be performed under continuous ultrasound monitoring to find the best spot for the instrument insertion and the sampling itself.

Ultrasonographic monitoring must be performed before the invasive prenatal procedure to define the pregnancy, the viability and the number of fetuses, the gestational age, the placenta location, the amniotic fluid pocket, the umbilical cord insertion and to avoid possible concomitant uterine adnexal pathologies.⁶

EMBRYONIC INVASIVE DIAGNOSTIC PROCEDURES (CVS-PGD)

The most common invasive technique used in perinatal centers is CVS, either transcervical (TC-CVS) or, mostly utilized, transabdominal (TA-CVS).⁷

The transabdominal route is preferable because it can be performed at any period of pregnancy⁸ (from 10th to 40th weeks of pregnancy), it is generally better accepted by women since it is faster to perform and implies lower fetal loss and infection risks, less risk of vaginal bleeding, better privacy, and easier reproducibility.⁹

To avoid the voluntary TOP, PGD on the embryo or the blastocyst can be employed.⁴

The techniques used depend on the disease, on whether they are performed before or during pregnancy, on the clinician's experience and hands-on skills, on the capacity of the laboratory, and on the patient's choice. Women usually prefer the simplest technique which provides a result as early as possible.

CHORIONIC VILLOUS SAMPLING

Indications of CVS

Karyotype Analysis for Chromosomal Risks

Even if in several countries the maternal age solely (≥ 35 years) is still employed as an indication for fetal karyotype study, the most appropriate indication is an abnormal screening test following first trimester combined testing (fetal nuchal translucency ultrasound measurement and dosages of free- β hCG and PAPP-A) and, more recently, following cell-free DNA (NIPS—noninvasive prenatal screening) from maternal blood sampling.

Other indications for fetal karyotype study are a previous child with a chromosomal anomaly and ultrasound detection of fetal structural abnormalities in the first trimester of pregnancy.¹

DNA Analysis for

Increased risk for single-gene diseases with a known DNA mutation, the risk for X-chromosomal inheritance, and autosomal recessive

diseases such as thalassemia, cystic fibrosis, Duchenne muscular dystrophy, mental retardation, etc.¹

Errors of Metabolism¹

Transabdominal CVS Technique (TA-CVS)

The most commonly employed procedure is TA-CVS by free-hand technique. The free-hand insertion can be done tangentially (Fig. 1) or obliquely (Fig. 2) to the ultrasound scanner using a 20- or 18-gauge spinal needle under continuous ultrasound monitoring.^{9,10} Several operators report insertion of the needle into the biopsy rigid adaptor as a guide.⁶

We prefer the free-hand approach using a 20-gauge needle connected to a 2-mL syringe to aspirate chorionic villi by an up-and-down movement because it proves to be less painful for patients and it also allows reaching the shortest route between the maternal abdomen and the chorion site as well as easy correction of the needle trajectory.^{11–13}

Neither local anesthesia nor antibiotic treatment is usually required and the sampling is performed in an outpatient facility. In a few cases, antispastics can be administered.

Sampling failure is very rare but it can occur in 1–2% of cases. The quantity and quality of the chorionic villi should be visually controlled by the clinician and usually, 10–20 mg of tissue is sufficient for all analysis.

In a few cases, maternal intestinal loops can be placed above the uterus so it may be useful to exert mild pressure with the screening probe to move the uterus a bit aside and then introduce the needle in the placenta.

In the case of the retroverted uterus and completely posterior placenta (2–3% of cases), transvaginal manipulation of the uterus by the assistant may be required to introduce the needle in the placenta and obtain a sufficient amount of chorionic villi.¹⁴

All procedures are performed in a sterile area and the needle and syringe must be heparinized to avoid clots, the maternal abdomen must be cleansed with an antiseptic solution beforehand. The screen probe must also be in a sterile drape or a glove.

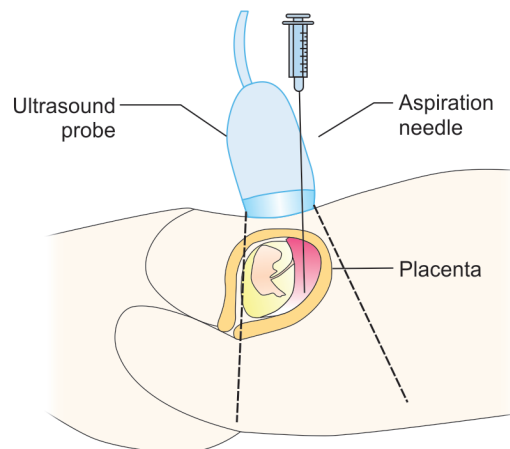


Fig. 1: TA-CVS and tangential free-hand insertion of the needle

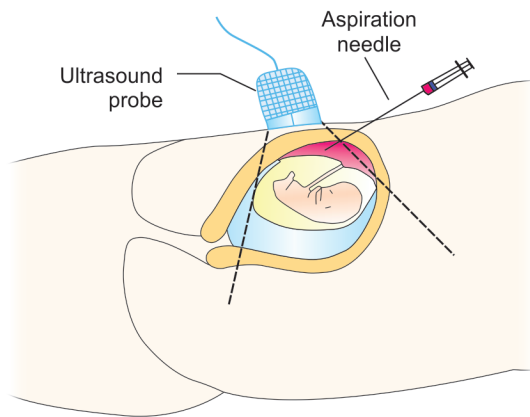


Fig. 2: TA-CVS and oblique free-hand insertion of the needle

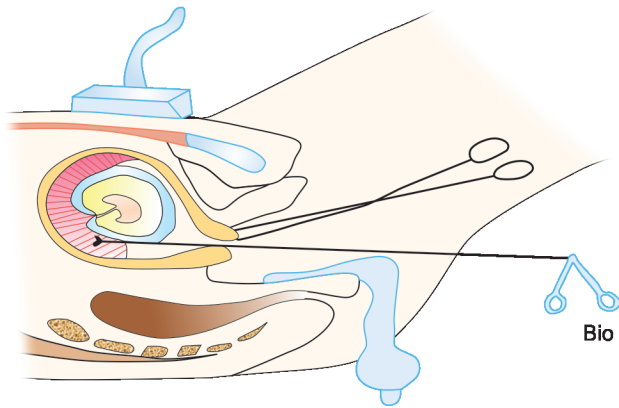


Fig. 4: TC-CVS and rigid biopsy forceps introduction

Transcervical CVS Technique (TC-CVS)

Placenta location by ultrasound and careful disinfection of the vagina must be done before introducing a flexible polyethylene catheter (Fig. 3) with an aluminum mandrel connected to a syringe for aspiration¹⁵ or a rigid biopsy forceps¹⁶ in the cervical canal introduced in chorion frondosum (Fig. 4) between 10 weeks and 14 weeks of gestation under continuous ultrasound monitoring. In several cases, a tenaculum can prove useful for the traction of the cervix and straightening out the uterus to permit a better introduction.

The instruments should not be introduced more than twice and if insufficient chorionic tissue is sampled, it is recommended to use a new sterile device.

Local anesthesia, antibiotics, and hospitalization are not necessary, and only in a few cases, tocolytics can be administered.

Sampling failure is very rare but it is reported to occur in 2–4% of samplings. The sufficient quantity of tissue should be visually controlled by the clinician and may vary from 10 to 20 mL for all genetic or chromosomal analyses.

TIMING AND RISKS OF CVS

Even if in the past, several procedures were performed in an earlier period of pregnancy before the 9th week of gestation, CVS must be

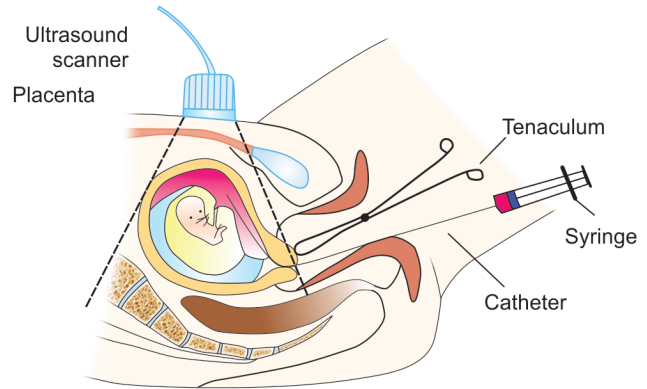


Fig. 3: TC-CVS and catheter introduction

performed following 10 weeks of gestation because several fetal abnormalities such as limb reduction and oromandibular defects were described if performed before the 10th week.^{17–19} Therefore, the most appropriate timing is 11–12 weeks.

The additional fetal loss rate following the procedure depends on the clinician's experience and a meta-analysis study reports to be very low, varying between 0.2% and 1%, the same as the one reported for the amniocentesis at 16 weeks.^{20–22} The fetal risk is higher in the early period and older women.²³

It is better not to introduce the instruments more than twice. In Rh-negative patients with a negative Coombs test, RH alloimmunization can be caused by CVS. In such cases, anti-D immunoglobulins prophylaxis is mandatory. In women already immunized, CVS is contraindicated.

It is advisable to perform the procedure after a reasonable period of tutoring under the guiding of a senior clinician.²⁴ To monitor the capacity of sampling success and to have fewer fetal losses, the clinician must have performed at least 100–200 procedures each year.²⁵

LABORATORY ANALYSIS OF CVS

Prenatal samplings can be analyzed using traditional karyotype, DNA-polymerase chain reaction (DNA-PCR), quantitative fluorescent polymerase chain reaction (QF-PCR), or chromosomal molecular analysis, and for single-gene diseases.

Traditional Karyotype

Metaphase analysis of cultured chorionic tissue and direct analysis of cytotrophoblastic metaphases following CVS is still used in prenatal centers.²⁶

In 1–2% several cell lines with different chromosomal kits can be present, this is called mosaicism. Two different types of mosaicism exist the true chromosomal mosaicism in which case the fetus and the placenta have two chromosomal kits and the so-called “confined placental mosaicism” with two cell lines present only in the placenta but not in the fetus. To distinguish the two cases, further analysis by amniocentesis or fetal blood sampling (FBS) is required.²⁷

QF-PCR

Recently QF-PCR can be used for the analysis of the most common trisomies and for X and Y chromosomes, but, in few cases, this test

results in more false-positives and false-negatives.²⁸ Quantitative fluorescent polymerase chain reaction must be confirmed by metaphase long culture analysis and ultrasound examination.

Chromosomal Molecular Analysis

Array comparative genetic hybridization (aCGH) or microarray analysis permits ascertaining submicroscopic chromosomal deletions and duplications. Up till now, targeted, mixed arrays, and genome-wide can be utilized in prenatal diagnosis for the detection of 6% of aberrations in traditional normal karyotype and also in fetal malformations following ultrasound.²⁹

Microarray techniques can also increase the detection rate of aberrations in CVS following abnormal screening combined test or following nuchal translucency thickness ≥ 3.5 mm and congenital cardiac problems.^{30,31} Finding a variant of uncertain significance (VoUS) may make genetic counseling difficult.

Sampling for Single Gene Defects

DNA-polymerase chain reaction amplification analysis is the technique of choice for a genetic single gene or Mendelian diseases such as thalassemia, cystic fibrosis, Tay-Sachs disease, etc., with very high analysis accuracy and very low misdiagnosis rate is reported.^{32,33}

It is preferable to obtain the DNA by CVS rather than by amniocentesis because of lower contamination probability and better analysis results. Variable number tandem repeat (VNTR) analysis can be added to avoid maternal contamination and misdiagnosis.³⁴

TRAINING AND AUDIT OF CVS

In the era of declining rates of invasive prenatal procedures due to the decrease of natality, screening tests, and the onset of NIPS by

cell-free DNA the importance of training and tutoring of fellows and maintaining the skilled expertise is fundamental.^{35–37}

At least 30–100 amniocenteses and 50–200 CVS are recommended yearly to maintain the manual skills of clinicians. Prenatal centers must create a database for annual monitoring of the sampling procedures and consider all parameters such as failure, success, repetition of procedure, fetal risks, analysis accuracy, as well as several birth defects.²²

Tutoring fellows in TA-CVS is simpler if they are already expert in ultrasonography and amniocentesis which is generally easier to acquire as a skill, rather than TC-CVS.³⁵

A training period of 2–3 weeks with an adequate number of invasive prenatal procedures and in a center with an expert senior tutor is recommendable, with teaching *in vivo* instead of using a model, a mannequin, or simulators.²⁴

Performing procedures must be centralized in centers so that they are a considerable number to maintain the skill and thus reduce the fetal loss risk and complications. The expertise of all operators must also be controlled regularly by an annual audit that should be reported during the counseling of the patients.¹⁴

PREIMPLANTATION GENETIC DIAGNOSIS

Preimplantation genetic diagnosis (PGD) is a very early form of prenatal diagnosis before the implantation of the embryo in the uterus and involves testing to avoid the transmission of specific genetic or chromosomal birth defects.³⁸

It was performed for the first time by Handyside in 1989 in the UK.³⁹ This technique also aims to bypass the obvious issue of voluntary TOP because it allows to select and transfer in the maternal uterus only the healthy embryos obtained *in vitro* by assisted reproductive techniques (IVF-ICSI).⁴⁰

The technique involves the biopsy of on single or more cells from oocytes or embryos, at the 3rd-day cleavage stage (Fig. 5) or at the 5th day from trophoblastic cells of blastocysts (Fig. 6).⁴¹

Preimplantation genetic diagnosis is used for genetic diagnosis of autosomal recessive disorders such as thalassemia, cystic fibrosis, Tay-Sachs disease, spinal amiotrophy, etc.,⁴² and women at risk for chromosomal disorders, mainly for advanced maternal age, recurrent pregnancy loss and repeated IVF failure, severe sperm factors, carrier of chromosomal rearrangement, and in the last indications is named preimplantation genetic screening (PGS).^{43,44}

The biopsied cells are analyzed by DNA-PCR. In the past, the analysis was performed by multiplex polymerase chain reaction for single gene defects and by fluorescent *in situ* hybridization for chromosomal anomalies.

At present time, the most accurate analysis is the whole-genome amplification and genome-wide technologies.³⁸

The success rate of analysis is high even if in a few cases a diagnosis may not be available due to DNA amplification problems or contamination. The success of PGD is strongly influenced by

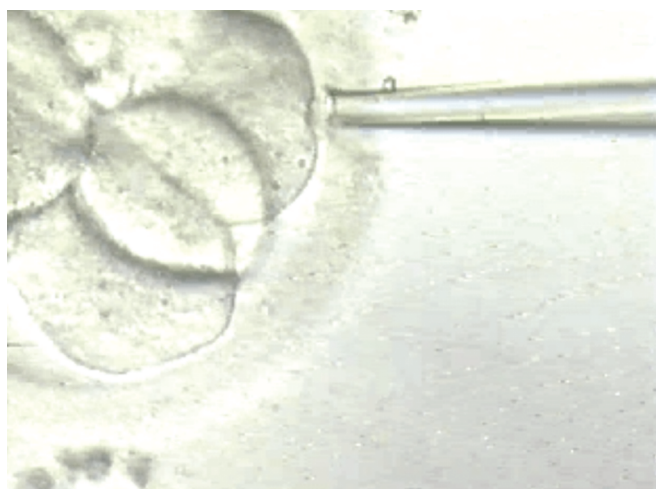


Fig. 5: Single-cell biopsy from an embryo at the 3rd-day cleavage

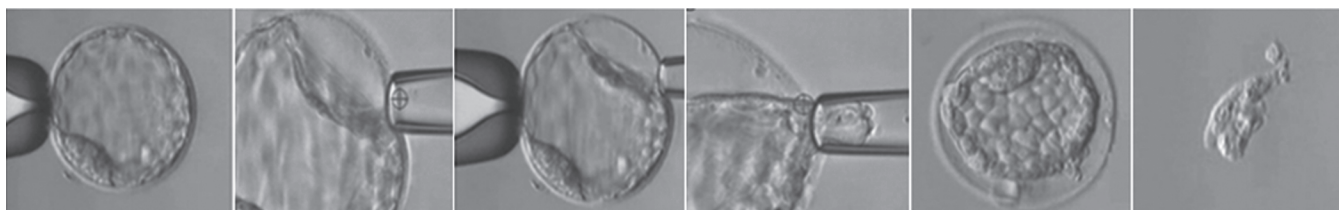


Fig. 6: Blastocyst removal at the 5th-day from trophoblastic cells

maternal age, IVF, quality of embryo culture and biopsy, and also by molecular diagnosis.

Preimplantation genetic diagnosis can also be employed for HLA compatibility by human leukocyte antigen matching in case of bone marrow transplantation after birth even if these techniques raise some ethical problems and controversies.⁴⁵

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